

1 [counsel listed on signature page]

2

3

4

5

6

7

8

UNITED STATES DISTRICT COURT

9

NORTHERN DISTRICT OF CALIFORNIA

10

SAN FRANCISCO DIVISION

11

ORACLE AMERICA, INC.

Case No. CV 10-03561 WHA

12

Plaintiff,

**JOINT STATEMENT REGARDING  
ORACLE PRODUCTS  
PRACTICING PATENTS**

13

v.

14

GOOGLE INC.

15

Defendant.

Dept.: Courtroom 8, 19th Floor  
Judge: Honorable William H. Alsup

16

17

18

19

20

21

22

23

24

25

26

27

28

1 Pursuant to the Court's December 6, 2011 Supplemental Order Regarding Patenting  
2 Marking (ECF No. 641) and the agreement between the parties as set forth in their December 30,  
3 2011 Joint Statement Regarding Supplemental Order Regarding Patenting Marking (ECF  
4 No. 661), Plaintiff Oracle America, Inc. ("Oracle") and Defendant Google Inc. ("Google") hereby  
5 submit the following statement regarding Oracle products, Oracle-licensed products, Sun  
6 products, and Sun-licensed products ("Oracle Products") that practice or have practiced the  
7 asserted claims of the patents-in-suit ("claims-in-suit").

### 8 I. STIPULATION

9 Pursuant to Paragraph 3 of the parties' December 30, 2011 Joint Statement Regarding  
10 Supplemental Order Regarding Patenting Marking (ECF No. 661), the parties stipulate as  
11 follows:

12 JDK version 6 practices asserted claim 14 of the '476 patent; and

13 JavaOS 1.1 practices asserted claim 7 of the '702 patent.

### 14 II. ORACLE'S STATEMENT

15 On October 21, 2011, Google filed a motion for partial summary judgment, asking the  
16 Court to find, as a matter of law, that it was not liable for damages for any infringement of five of  
17 the six patents-in-suit before July 20, 2010, claiming undisputed facts established Oracle was  
18 practicing the patents-in-suit but had not marked its products. That motion was denied. Now,  
19 perhaps more concerned about an injunction than patent marking in light of the Court's recent  
20 damages rulings, Google has *completely reversed its position*. Raising a host of evidentiary  
21 objections, Google does a tactical about-face, and will agree only that two versions of Oracle  
22 products practice *two* of the twenty-six claims at issue in this case.

23 Following the denial of Google's summary judgment motion, the Court ordered the parties  
24 to work together to streamline this issue for trial. The parties stipulated to a procedure, based on  
25 a proposal that originated with Google, under which Oracle would identify which products it  
26 claims practice the patents and Google would state whether it agreed or disagreed, and why.  
27 Oracle went to great lengths to carry out its part of that stipulation. Google ignored it, serving  
28 Oracle with a response consisting entirely of objections instead of the information it agreed to

1 provide. Google's evidentiary objections have no place in this procedure. The purpose of the  
2 stipulation was to try to avoid the need to present evidence on these issues at trial.

3 Having placed an enormous burden on Oracle, Google is now essentially refusing to  
4 engage in the narrowing process that it proposed (ECF No. 635 at 1, 3-4) and the Court ordered  
5 (ECF No. 636). For four of the patents—the '104, '205, '520 and '720—Google has provided no  
6 useful information at all. At the last minute, Google agreed to stipulate that one Oracle product  
7 practices the claim at issue in the '476 patent, and that one Oracle product practices one of the  
8 seven claims at issue in the '702 patent. Even as to these two patents, Google has still not taken a  
9 position on whether the many other products identified by Oracle practice the claims, and has not  
10 squarely addressed the remaining six claims at issue in the '702 patent.

11 Oracle identified its position as to all of the products and claims at issue as the parties  
12 agreed, but Google is still playing possum. Google should be required to comply with the parties'  
13 December 30, 2011 Joint Statement and provide a clear statement of which Oracle products it  
14 contends do, or do not, practice the patents, and why.

15 **A. Google Has Not Complied With The Parties' Stipulated Procedure**

16 When the Court denied Google's summary judgment motion on patent marking, it  
17 expressed concern that the marking issue would "devolve into an 'infringement' type analysis at  
18 trial of various Oracle products and methods to determine if they practiced any of the claims to be  
19 tried, throwing yet another complication into the trial." (ECF No. 621 at 4.) The Court ordered  
20 the parties to work together to find a way to mitigate this problem, ordering specifically that  
21 "Both sides shall meet and confer in person and devise a fully agreeable procedure regarding the  
22 marking statute." (ECF No. 641 at 1.)

23 The parties subsequently stipulated that Oracle would identify the products that practiced  
24 the 26 asserted claims, along with "source code citations and/or other documentation" supporting  
25 its contentions, fact witnesses and a summary of expected testimony. (ECF No. 661.) Google, in  
26 turn, would respond by identifying "which Oracle Products it contends do (or do not) practice the  
27 asserted claims, and why." (*Id.*) Notably, this stipulation embodied a process that was proposed  
28 by Google, in the November 30 statement it filed with the Court:

1 In short, Oracle needs to take a position on whether its products or those of its  
2 licensees practice the patents-in-suit and stick to it. Google proposes that by  
3 **December 9, 2011**, for each of the 26 asserted patent claims, Oracle identify each  
4 Oracle product or Oracle-licensed product that allegedly practices the claim, and  
5 the time period during which the product allegedly was marked. By **December**  
6 **16**, Google will respond and identify which contentions in Oracle's submissions it  
7 would be able to stipulate to, and which contentions it will challenge at trial.

8 (ECF No. 635 at 3-4.) Google represented that: *"The above disclosures could resolve most, if not*  
9 *all, of the marking dispute, and will significantly shorten the time that will need to be allocated to*  
10 *this issue at trial."* (*Id.* at 4 (emphasis added).)

11 Google's representation made sense. Oracle had identified its products embodying the  
12 claimed inventions early in the case, and Google had ample opportunity to take discovery. And  
13 Google had just moved for summary judgment on this issue, asking the Court to find that Oracle  
14 practiced the patents. (ECF No. 552.)

15 Oracle satisfied its obligations, and expended more than a hundred hours of its engineers'  
16 and attorneys' time complying with the parties' agreed-upon procedure. For each of the six  
17 patents at issue, Oracle identified the specific products, and versions of products, that practice the  
18 patents, provided citations to source code files, identified fact witnesses who could provide  
19 supporting testimony, and summarized their expected areas of testimony. A copy of Oracle's  
20 submission is attached to this Joint Statement as Appendix A ("Oracle Response"). It was  
21 provided to Google, as the parties agreed, on January 6, 2012.

22 But now Google has reversed course completely and has refused to engage substantively  
23 in the issue-narrowing process it proposed. Instead of responding with a statement identifying  
24 which Oracle products it contends do, or do not, practice the patents, Google served Oracle with a  
25 litany of objections. Google's response did not, as the parties agreed, go through the list of  
26 products identified by Oracle and state Google's position as to whether the products practice the  
27 patents or not, and why. Nor did it identify whether Google claims any additional products  
28 practiced the patents, as Google was also required to do. (*See* ECF No. 661 at 2; Google  
Response, attached as Appendix B.) For three of the patents – the '520, '702, and '476 patents –  
Google simply ignored the question of which Oracle products practice the patents. (Google  
Response at 9-14.) For the remaining three, the '104, '205 and '720 patents, Google's only

1 response was to state that according to the claim construction provided by its own experts, no  
2 Oracle products practice the patents. (*Id.* at 5-6, 8-9, 13-14.) This, of course, invites precisely the  
3 infringement-type argument the Court was hoping to avoid. Moreover, if Google intended to  
4 maintain these claim construction positions, it never should have moved for summary judgment  
5 on the marking statute, as the two arguments are mutually exclusive. In the case of the '104 and  
6 '205 patents, Google's contention that Oracle's products do not practice its own claims turns on  
7 claim construction issues that Google has never presented to the Court.

8 Google has stated that it does not want its response on the patent marking issue to be used  
9 to impeach its experts on the issue of infringement. To address this concern, Oracle offered to  
10 stipulate that the parties' agreement regarding which Oracle products practice the patents will not  
11 be used to support claim construction or infringement arguments at trial. Google refused.

12 The parties were directed by the Court to cooperate to try to work out this issue. Google  
13 urged a procedure that required Oracle to go first and identify the products that practiced the  
14 claims and promised to respond. Google's position now is essentially that it does not have to  
15 respond based on the evidentiary objections that it is raising: during recent meet and confer on  
16 this issue, Google has stated that it should not be required to respond because Oracle does not  
17 have admissible evidence on these issues. As will be seen below, Google's argument is based  
18 primarily on the incorrect claim that 30(b)(6) deposition testimony—completed in the summer of  
19 2011, *four months before it proposed this procedure*—was insufficient. Google also contends  
20 that it should not have to respond because Oracle cannot present the right witnesses at trial. But  
21 Google was also aware of its own contention when it proposed the procedure, since it specifically  
22 referred to Oracle's proposed trial witnesses in the November 30 statement in which it argued that  
23 its procedure would save time by eliminating the need to put this evidence on at trial. (*See* ECF  
24 No. 635 at 1 & n.2.)

25 If this was Google's position, it should never have proposed and agreed to this process.  
26 Essentially all of the evidentiary arguments it raises now were known to Google at the time it  
27 stipulated to the procedure. Oracle spent an enormous amount of time and resources relying upon  
28

1 the parties' agreement. Google should be required to comply with the parties' December 30,  
2 2011 Joint Statement immediately.

3 **B. Google's Objections Are Meritless.**

4 Google's numerous evidentiary objections have no place in the joint statement procedure  
5 that the parties agreed to and the Court ordered. The purpose of this procedure was for the parties  
6 to try to reach agreement on which Oracle products practice the patents so that, to the extent  
7 possible, the parties would not have to present evidence on these issues at trial.

8 Nonetheless, Oracle feels it has little choice but to address Google's objections, because  
9 Google's response consisted of little else, and Oracle does not want the Court to be left with the  
10 misimpression that these objections are valid. Google has mischaracterized the record and  
11 Google's complaints principally stem from its own failure to develop the record during discovery.

12 **1. The '104 Patent**

13 Google's first objection to Oracle's identification of products that practice the '104 patent  
14 is that it cannot respond because Oracle supposedly failed "to actually cite any source code or  
15 documentation" reflecting how the patent is practiced. (Google Response at 3.) Google repeats  
16 this same rote objection for each of the six patents-in-suit. (*See id.* at 6, 9, 11, 12, 13.) The  
17 objection is baseless as to the '104 patent and as to the other five patents as well. Oracle  
18 identified specific source code files for each of the products it claims practices the six patents.  
19 (*See, e.g.*, Oracle Response at 2-12.) These are not "a laundry list of names of various source  
20 code files" as Google claims. (Google Response at 1.) To the contrary, Oracle has identified  
21 specific source code files relevant to each product or product version—typically less than five  
22 files—that illustrate where and how the patent is practiced. (*See, e.g.*, Oracle Response at 2, 12.)  
23 If Google felt these responses were somehow deficient, it could have raised that with Oracle  
24 before its response was due. But it never did. In fact, although it had a full two weeks to  
25 respond, Google's counsel waited until *three days* before Google's response was due to ask for  
26 copies of only some of the referenced source code files (which had all been produced last year as  
27 part of the parties' procedure for producing confidential source code). Google did not make a  
28 good faith effort to prepare its response or to meet and confer during this two-week period, and if

1 it did not have enough time to review the files to verify Oracle's position, it has only itself to  
2 blame.

3 Google's evidentiary objections are equally meritless. Google has had ample discovery  
4 concerning Oracle's use of the '104 patent. There is no legitimate dispute that Oracle practices  
5 the claims at issue in the '104 patent. It is a fundamental patent that is practiced in essentially  
6 every Oracle Java virtual machine product. Oracle first identified products practicing the '104  
7 patent in its December 2, 2010 Disclosure of Asserted Claims and Infringement Contentions  
8 ("Oracle 12/2/2010 Disclosure") and subsequently made the source code available for Google's  
9 inspection. (Oracle 12/2/2010 Disclosure at 5-6.) These same products were identified in  
10 Oracle's January 2012 disclosure, with only minor version clarifications or deletions. Google  
11 chose not to serve any interrogatories directed to Oracle's practice of the '104 patent, although it  
12 had five interrogatories left at the close of discovery. (Oracle Response at 2-5.)

13 Google's complaints are directed to the 30(b)(6) deposition of Dr. Peter Kessler, who  
14 testified on both the '104 and '205 patents. Google paints a highly misleading picture of Dr.  
15 Kessler's testimony to try to make it seem as though he was unprepared. But Dr. Kessler testified  
16 that he spent "days" reviewing source code specifically to prepare for the 30(b)(6) deposition.  
17 (Kessler Dep. 40:21-41:3.)<sup>1</sup> Google's claim that Dr. Kessler was not a qualified 30(b)(6) witness  
18 because he supposedly "had no percipient knowledge of the functionality in Oracle's products  
19 allegedly implementing the '104 patent" is nonsense. (See Google Response at 5.) It is true that  
20 Dr. Kessler is not the inventor of the '104 patent—the inventor is James Gosling, who was  
21 working *for Google* at the time this 30(b)(6) deposition was taken. However, Dr. Kessler is a  
22 "principal member of technical staff" at Oracle America, a position he has held "since the early  
23 1990s" at Sun, where he worked extensively on Java. (Kessler Dep. 8:8-21, 15:15-16:23.) He  
24 described his main job responsibilities as "I write code, I review code, I advise other people on  
25 the meaning and writing of code." (*Id.* at 8:11-14.) Because the '104 patent is practiced in

---

26 <sup>1</sup> To avoid burdening the Court with additional paper, the parties agreed not to submit  
27 deposition transcripts and other materials with this filing, but are prepared to file copies of the  
28 cited documents promptly if the Court wishes.

1 virtually all of Oracle's Java virtual machine products, no one person could be expected to have  
2 personal familiarity with them all. Dr. Kessler testified that, to prepare for the deposition, "I  
3 examined the source code for the JRE. I examined the source code for HotSpot. And for the  
4 others, I relied upon the opinions of other engineers who were more familiar with those source  
5 code bases." (*Id.* at 198:9-18.)

6 Google chose to spend a very short amount of deposition time on the '104 patent. The  
7 entire examination spans less than 20 transcript pages. (*See id.* at 183:14-203:8.) Dr. Kessler  
8 testified fully and appropriately. It is simply not true, as Google claims, that Dr. Kessler could  
9 not testify as to which Oracle products other than the JDK, JRE and HotSpot practice  
10 "implemented symbolic resolution or where." (Google Response at 4.) Dr. Kessler was asked  
11 about, and confirmed, based on his own review and consultation with others, which Oracle  
12 products practiced the '104 patent. (*See* Kessler Dep. 197:17-199:24.) He explained what he did  
13 to look for the "symbolic references" that are the subject of the '104 patent, and how he could tell  
14 if it had been transformed into a "numeric reference." (*Id.* at 183:23-185:15.) Dr. Kessler also  
15 identified at least three places in the Oracle source code where symbolic references are "resolved"  
16 into numeric references—class names, method names and field names and explained how that  
17 process worked. (*Id.* at 185:8-190:13.) He testified that, for the products other than the ones he  
18 personally examined, his understanding was that "the functionality is the same, even if the file  
19 names or the method names are different." (*Id.* at 201:20-202:5.)

20 Google faults Dr. Kessler for not being able to provide it with the specific names of files  
21 or methods that implement the patent. (Google Response at 4.)<sup>2</sup> But this is an entirely

---

22  
23 <sup>2</sup> Google also asserts generally that the 30(b)(6) witnesses are not "qualified" because they  
24 could not answer questions directed to "Oracle's actual practice of the patent claims." (Google  
25 Response at 3.) These types of questions are improper because they call for a legal conclusion  
26 that depends on the legal construction of the patent. As noted above, Dr. Kessler and the other  
27 30(b)(6) witnesses all properly testified as to the products that practiced the technology described  
28 in the patents. This is how Oracle will present its evidence at trial, as Oracle informed the Court  
on Nov. 30, 2011. (ECF No. 634.) Oracle's experts, as part of their testimony regarding  
infringement and validity, will discuss the technology within the scope of the claims (as informed  
by the Court's claim constructions). The Java engineers will testify as to which Oracle products  
include the relevant technologies.

1 unreasonable expectation. A new version of the source code is generated for every type of virtual  
2 machine, and the versions have changed over time. There are literally hundreds of versions of the  
3 source code, and a witness at a 30(b)(6) deposition cannot be expected to testify as to exact file or  
4 method names. Dr. Kessler testified that he could not provide specific names without looking at  
5 source code, but Google's counsel chose not to show him any. (Kessler Dep. 192:10-24.) A  
6 deposition is not a memory test, and it would have been impossible for Dr. Kessler to memorize  
7 all of the source code file and method names in any event. Dr. Kessler spent extensive time  
8 preparing for the deposition, and testified as to the products that practiced the use of symbolic  
9 references and how he made that determination. Oracle made a good faith effort to prepare and  
10 present a 30(b)(6) witness on an extremely broad topic, and Google has more than enough  
11 information to proceed to trial. But, if Google felt this was insufficient, it should have followed  
12 up with Oracle to request further information, or filed a motion to compel. It did neither.

13 Google also states that it declined to provide its position in response to Oracle's January  
14 2012 disclosure because its experts construe the claims differently from Oracle. (*See* Google  
15 Response at 5-6.) But these differing constructions are based on a particular interpretation of  
16 terms that Google chose not to place before the Court when it was given the chance to do so. (*See*  
17 11/8/2011 Order Regarding Construction Of Claims To Be Tried, ECF No. 603.) In any event,  
18 Oracle has proposed a compromise that would resolve this issue. Google should be ordered to  
19 respond, on the understanding that its response will not be used at trial for the purpose of claim  
20 construction or infringement.

## 21 **2. The '205 Patent**

22 Google had more than sufficient identification and information about Oracle's products  
23 that practice the '205 to respond as required by the parties' stipulation. Instead of providing a  
24 straightforward response, however, Google again ducks the question, stating only that, under the  
25 claim construction proposed by its expert, Oracle does not practice the claims. (Google Response  
26 at 8-9.) Once again, however, this argument depends on a claim construction argument that  
27 Google chose not to submit to the Court when it had the opportunity to do so. (*See* ECF No.  
28 603.) Google should be required to respond substantively.

1 Oracle first identified products practicing the '205 patent in its December 2, 2010  
2 Disclosure and subsequently made the source code available for Google's inspection. (Oracle  
3 12/2/2010 Disclosure at 6-7.) In Oracle's January 6, 2012 disclosure, Oracle confirmed the  
4 products practicing the '205 patent, deleting one product and making some minor clarifications.  
5 (Oracle Response at 5-8.)

6 Google served one interrogatory regarding the '205 patent. Notably, while the '205 patent  
7 is practiced in many Java products, Google's interrogatory was directed only to JDK 1.2. The  
8 interrogatory asked Oracle to:

9 Identify, by reference to the relevant portions of the source code and the date on  
10 which such portions were first included in the code, the specific functionality  
11 within JDK 1.2 that Oracle contends practices the Asserted Claims of U.S. Patent  
12 No. 6,910,205.

13 (Google 6/16/2011 Corrected Interrogatory No. 16.) Oracle understood this interrogatory as  
14 asking it to identify the first time the specific functionality within JDK 1.2 that practiced the '205  
15 patent at the time was included in the code, and answered accordingly:

16 The functionality that practices the Asserted Claims of U.S. Patent No. 6,910,205  
17 is implemented in the `TemplateTable::fast_invokefinal()` method, which is  
18 included in `templateTable_i486.cpp` of the HotSpot virtual machine. The HotSpot  
19 virtual machine first became an optional component of JDK 1.2 in version  
20 1.2.1\_004 on or about March 18, 1998.

21 (Oracle 7/14/2011 Response to Google Corrected Interrogatory No. 16.) It is apparent that  
22 Google had a different interpretation of this interrogatory, and its response complains that the  
23 interrogatory response did not list all of the portions of the source code that practice the patent.  
24 (See Google Response at 7.) Oracle identified additional source code files in the portion of its  
25 January 2012 disclosure relating to JDK 1.2, because those files were implemented later. Even  
26 the January 2012 disclosure is illustrative, however, since, again, there are literally hundreds of  
27 different versions of this code. Google should not require anything more for the purposes of  
28 responding to the parties' stipulated procedure.

The two inventors of the '205 patent—Lars Bak and Robert Griesemer—have been  
employed by Google through the entirety of this litigation. Accordingly, Oracle designated Dr.  
Kessler on the deposition topics relating to products practiced by the '205 patent. These were

1 topic 11 (an overbroad topic directed to “evidence of conception, reduction to practice or actual  
2 use” of the inventions of all the asserted claims) and topic 10, which related specifically to “[t]he  
3 practice of the asserted claims of U.S. Patent No. 6,910,205 by JDK....” (Google 6/21/2011  
4 30(b)(6) Deposition Notice.) Robert Griesemer was deposed by Oracle in his personal capacity  
5 on June 23, 2011.

6 Google again misrepresents Dr. Kessler’s preparation for the deposition and his responses.  
7 Google’s suggestion that Dr. Kessler only “knew about some source code that Oracle’s counsel  
8 directed him to consider in advance of his 30(b)(6) deposition” is false. (*See* Google Response at  
9 8.) As noted above, Dr. Kessler spent days reviewing code specifically to prepare for his  
10 deposition, and he also testified that, in relation to the litigation more generally, he looked for  
11 evidence relating to conception, reduction to practice, and actual use of the ’205 patent over a  
12 period of about six months, and consulted with other Oracle employees about these issues. (*See*  
13 Kessler Dep. 36:23-37:5, 40:21-41:3, 119:11-120:22.)

14 Google does not dispute that Dr. Kessler gave extensive testimony as to how the method  
15 fast\_invokevfinal practices the patent. (*See* Google Response at 7-8; *see, e.g.*, Kessler Dep. 68:1-  
16 70:10, 78:4-79:5.) This method, as noted above in response to Interrogatory 16, and at the  
17 deposition, is found in the source code file TemplateTable. There are different versions of  
18 TemplateTable for different computer platforms (such as those CPUs made by Sun, Intel, and  
19 AMD). Google quarrels that Dr. Kessler could not identify which of the “hundreds of versions”  
20 of the source code file TemplateTable\_i486 practice the patent. (Google Response at 8.) While  
21 this functionality is disabled in some of these hundreds of versions (Kessler Dep. 82:22-84:13), it  
22 is not reasonable to expect that all of the individual practicing versions could be identified by a  
23 witness in deposition, or even in response to an interrogatory, or why such a burdensome inquiry  
24 is necessary or relevant. Oracle clearly practices the patent.

25 Dr. Kessler also brought to his deposition two versions of the source code file  
26 bytecodes.hpp (which had previously been produced to Google), another file that implements the  
27 ’205 patent. Oracle’s counsel prepared multiple copies of these files so that they could be marked  
28 as exhibits, and handed them to Google’s counsel at the outset of the deposition, stating that they

1 had been reviewed in preparation for the deposition. (*Id.* at 5:13-18.) Google apparently already  
2 appreciated the significance of this file because, later in the deposition, Google's counsel marked  
3 as an exhibit of its own, the closely related source code file `bytecodes.cpp` (`bytecodes.hpp` is its  
4 header file), and then, realizing that it was not the file that had been brought to the deposition by  
5 Oracle's counsel, left it unaddressed:

6 MR. KAMBER: Please mark as Exhibit 328 the `bytecodes.cpp` file, I'm sorry, that  
7 your counsel provided us this morning.

8 (Exhibit Google 328 was marked for identification.)

9 Q. BY MR. KAMBER: What is Exhibit 328?

10 A. Exhibit 328 is a copy of `bytecodes.cpp` version 1.85, dated December 23, 2003,  
but I do not believe this was the document provided by my counsel.

11 MR. KAMBER: You're right. I grabbed the wrong file so I apologize. We can  
12 just leave that marked as Exhibit 328.

13 (*Id.* at 174:13-24.)

14 Inexplicably, Google never came back to ask any question about Exhibit 328, nor marked  
15 or asked questions about the `bytecodes.hpp` files brought to the deposition by Oracle. If it had,  
16 Dr. Kessler would have testified that these source code files also implemented the invention in the  
17 '205 patent, as Google itself apparently had already concluded. This is Google's fault, not  
18 Oracle's.

19 Various versions of `TemplateTable` and `bytecode.hpp` are listed as source code files that  
20 evidence practice of the '205 patent as to all but one product in Oracle's January 2012 disclosure.  
21 (*See* Oracle Response at 5-8.) Dr. Kessler testified further at his deposition that Java EE 1.2 and  
22 Java Realtime 1.0 both run on top of JDK 1.2, and practice the '205 patent to the same extent it is  
23 practiced in JDK 1.2. (Kessler Dep. 180:15-24.) This mirrors the chart in the January 2012  
24 disclosure for these two products. (*See* Oracle Response at 7.)

25 There is no reason why Oracle should be precluded from putting on evidence at trial that  
26 its products practice the '205 patent given that Dr. Kessler testified that they did, and Oracle  
27 provided ample evidence supporting that claim. If Google believed Dr. Kessler was inadequately  
28 prepared for the '205 deposition or that his responses were insufficient, it should have raised this

1 with Oracle, and either asked for another witness or filed a motion to compel, instead of raising  
2 the issue for the first time here.

### 3                                   3.       The '702 Patent

4           In its January 2012 disclosure, Oracle confirmed that the '702 patent is used in two Oracle  
5 products: JavaOS (version 1.1 and variants, such as JavaPC) and Java Card (platform 2.1 and  
6 subsequent versions). (Oracle Response at 8-9.) Google has responded as to one product only—  
7 and even for that product as to only one of the seven claims—stipulating that Java OS version 1.1  
8 practices claim 7 of the '702 patent. Google should be required to state its position clearly for all  
9 of the products identified by Oracle, and for all seven claims, as it agreed to do, and for those  
10 products it contends do not practice the claims, Google must explain why. (ECF No. 661 at 2.)

11           Oracle first identified these products as patent embodiments in its December 2010  
12 Disclosure (Oracle 12/2/2010 Disclosure at 7) and later produced the relevant source code for  
13 inspection.

14           In addition, last summer, in response to a Google interrogatory regarding JavaOS, Oracle  
15 identified the directories that contained the source code that implemented the '702 patent in  
16 JavaOS 1.1, and identified the files Jld.java, classloader.c, FileLoader.java, and JavaOSVM.java  
17 within those directories for Google's convenience. (Oracle 7/22/2011 Response to Google  
18 Amended Interrogatory No. 15.) The files identified for JavaOS in Oracle's January 12  
19 disclosure correspond to the directories that Oracle identified last year and include the same four  
20 files previously called out by name. (*Compare id. with* Oracle Response at 8.) Google did not  
21 serve any interrogatories aimed at Java Card.

22           Google also took a 30(b)(6) deposition on Oracle's use of the '702 patent. Again, this  
23 deposition was limited to JavaOS, and did not include JavaCard. Google's Topic 9 concerned  
24 "The practice of the asserted claims of U.S. Patent No. 5,966,702 by JavaOS...." (Google  
25 6/21/11 30(b)(6) Deposition Notice.) John Pampuch was Oracle's designee with respect to this  
26 topic.<sup>3</sup>

---

27           <sup>3</sup> Mr. Pampuch was designated on two other topics that also related solely to JavaOS,  
28 Topic 13, which concerned Oracle's production of JavaOS documents, and Topic 14, which  
(Footnote continues on next page.)

1 Mr. Pampuch testified at length about how JavaOS 1.1 created and used the multiclass  
2 files that are the subject of the '702 patent. (Pampuch Dep. 139:13-22, 147:15-150:9, 151:5-  
3 153:23, 155:10-161:12, 166:14-167:1.) Google misleadingly excerpts Mr. Pampuch's testimony,  
4 to make it appear that he was not aware if the "'702 patent concept of 'multiclass files' *was ever*  
5 *practiced.*" (Google Response at 10 (emphasis in original).) This claim is false. As the excerpt  
6 itself shows, the testimony cited by Google was in response to questioning as to whether Mr.  
7 Pampuch was aware of "*anyone outside of Sun*, including developers, other companies who  
8 licensed JavaOS, for example, ever having used multiclass files or created multiclass files." (*Id.*  
9 (emphasis added); *see also id.* (question referring to "multiclass files, *having been apparently*  
10 *implemented*, based on what you have seen") (emphasis added).) Google's assertion that this  
11 testimony relates to Sun's own implementation or use of the claimed inventions is blatantly  
12 misleading, to say the least.

13 Moreover, Google excised more than a page out of the testimony from Mr. Pampuch that  
14 it cites, replacing it with an ellipsis. In the missing portion, Mr. Pampuch confirmed that JavaOS  
15 practiced the "'702 patent concept of 'multiclass files'":

16 Q: It is possible, then, that by default, JavaOS was set to not even use multiclass  
17 files; is that correct?

18 A: So JavaOS 1.1, as far as I can tell, you know, it would always be able to load  
19 multiclass files. But the developer who was creating the application might choose  
20 to use multiclass files to create one or not.

21 (Pampuch Dep. 207:24-208:6.) Earlier in the deposition, Mr. Pampuch expressly identified the  
22 specific portions in the JavaOS source code where multiclass files are created and subsequently  
23 read in:

24 Q: In your view, which source code files implement the multiclass functionality?

25 (Footnote continued from previous page.)

26 concerned the retention of JavaOS 1.0 source code. (*See* Pampuch Dep. 12:12-18; Google  
27 7/9/2011 and 7/18/2011 30(b)(6) Deposition Notices.) No deposition was taken with respect to  
28 the '702 patent under Google's overbroad topic 11 ("evidence of conception, reduction to practice  
or actual use" of the inventions of all the asserted claims).

1 A: Classloader.c implements a portion of the multiclass loading and the Java  
2 Virtual Machine and Jld.java and some related source files in the same directory  
3 implement the creation of multiclass files from regular class files. It may turn out  
4 FileLoader.java is used, as well, but the implementation of multiclass is in  
5 classloader.c.

6 (Pampuch Dep. 139:13-22.)

7 The record is clear: Mr. Pampuch testified that the '702 technology was implemented in  
8 JavaOS 1.1, a subject for which he was Oracle's designee and for which he prepared to testify.  
9 Google's argument that Mr. Pampuch contradicted Oracle's contention that JavaOS practiced the  
10 '702 patent is preposterous.

11 Google also complains that Mr. Pampuch could not give testimony as to one of the four  
12 specific source code files identified in the December 2, 2010 Disclosure and the January 2012  
13 response, FileLoader.java. (See Google Response at 10-11.) But this is only because Google did  
14 not show Mr. Pampuch the file at the deposition, which was produced in discovery months before  
15 and accessible to Google at the time of Mr. Pampuch's deposition. Google showed Mr. Pampuch  
16 copies of Jld.java and classloader.c and Mr. Pampuch was able to fully testify about them. (See,  
17 e.g., Pampuch Dep. 139:13-22, 147:15-150:9, 151:5-153:23, 155:10-161:12, 166:14-167:1. ) But  
18 Google chose not to show FileLoader.java to Mr. Pampuch, so it is not surprising that he could  
19 not answer questions about a source code file on the fly, unassisted by the code itself. Had  
20 Google provided a copy of the code, it would have elicited the testimony Mr. Pampuch will give  
21 at trial. Google also never pursued this issue with Oracle after the deposition. In this case,  
22 Google did subsequently make a self-serving statement, without explanation, that "Mr. Pampuch  
23 was not prepared to testify about numerous issues within the scope of the designated topics, a  
24 letter regarding this and other issues will be forthcoming." (See 8/2/2011 Letter from M. Francis  
25 to M. Peters.) But Google never sent the letter or otherwise followed up. If Google had, Oracle  
26 could have addressed this issue by interrogatory or by offering further deposition testimony.  
27 Google cannot use its failure to properly pursue this discovery to bar Oracle from presenting  
28 testimony.

Finally, Google complains that Mr. Pampuch was not prepared to give testimony as to  
Java Card. But Java Card was expressly excluded from Google's 30(b)(6) deposition topic, and

1 Google chose not to propound any other discovery directed at Java Card either. Two things  
2 follow from the fact that there was no 30(b)(6) deposition on Java Card: First, as a practical  
3 matter, Mr. Pampuch did not review any Java Card code before his deposition to refresh his  
4 recollection about how Java Card works, because Google did not identify it as a deposition topic.  
5 Second, as a legal matter, he was not testifying as Oracle's corporate representative when he was  
6 asked about Java Card, so Oracle is not bound by his testimony.

7 To streamline the trial presentation, Oracle proposed to have Mr. Pampuch testify about  
8 the use of the '702 patent as well in Java Card as well as in JavaOS—though others could testify  
9 as well. Google put Mr. Pampuch on its witness list to “testify about Oracle's alleged practice of  
10 U.S. Patent No. 5,966,702, including by JavaOS.” (Google's Trial Witness Disclosure Pursuant  
11 To Fed. R. Civ. P. 26(a)(3) at 7, ECF No. 491-2.) That is exactly what Oracle has proposed he  
12 do. Google has had ample opportunity to investigate Oracle's specific assertions concerning its  
13 practice of the '702 patent. It is free to cross-examine Mr. Pampuch at trial, but there is no reason  
14 or basis to exclude his testimony.

#### 15 **4. The '476 Patent**

16 The '476 patent has been and is used in almost all mainline Java products (such as Java  
17 SE, Java ME, and Java EE). Li Gong's invention is one of the foundations of the celebrated Java  
18 Security Framework and has been part of Java since version 1.2. The products that practice the  
19 '476 patent were identified in Oracle's January 2012 disclosure. (Oracle Response at 9-11.)  
20 These products were all first identified in Oracle's December 2, 2010 Disclosure, and the source  
21 code was made available for inspection. (Oracle 12/2/2010 Disclosure at 7-8.)

22 Google stated in its response that it would consider entering into a stipulation regarding  
23 the '476 patent. Earlier today, it agreed to stipulate that one version of one of the many products  
24 identified by Oracle (JDK version 6) practices the claim at issue. It has not, as it was required to  
25 do, stated its position as to whether the remaining products do or do not practice the patents and  
26 why.

27 Google's response did not identify any legitimate reason why it could not provide this  
28 information. Its response contained no evidentiary objections for the '476 patent. Instead, it

1 provides a series of creative footdragging excuses. Google proclaims, “Oracle provides no  
2 information to support its contention that the witnesses identified in its Disclosures have any  
3 *percipient* knowledge with regard to actual embodiments.” (Google Response at 12.) But, of  
4 course, Oracle was never required to provide this under the parties’ agreement, and it is not  
5 needed for Google to determine whether the identified products practice the patents.

6 Similarly, Google claims in its response that it is “unclear” whether the source code files  
7 identified by Oracle for guidance “actually create the required protection domains and  
8 permissions.” (*Id.* at 11.) But Google made absolutely no effort in the two weeks following  
9 Oracle’s disclosure to ask for any clarification or assistance on this issue. And Google knows full  
10 well where the Java code performs that function, since both parties’ experts analyzed and  
11 discussed the corresponding Android code in their expert reports. Information about whether the  
12 Java source code was compiled into executable instructions is readily available on the Internet.<sup>4</sup>

13 Upon receiving Google’s response, Oracle contacted Google to arrange for a meet and  
14 confer. Oracle provided Google with the information it requested during that meet and confer,  
15 and the end result was that Google stipulated with respect to a single product only, and took no  
16 position as to the rest. Google should be ordered to comply with the parties’ December 30  
17 Agreement and identify the products that do, or do not, practice the ’476 patent and why.

## 18 5. The ’520 Patent

19 In its January 2012 disclosure, Oracle confirmed that the ’520 patent is used in three  
20 Oracle products: CLDC HI (1.1.3 and subsequent versions), CLDC RI (1.1.1 and subsequent  
21 versions), and Java Card (platform 2.1 and subsequent versions). (Oracle Response at 11-12.)  
22 Oracle also identified a handful of source code files that support that conclusion. Oracle first  
23 identified these products as patent embodiments in its December 2010 Disclosure (Oracle  
24 12/2/2010 Disclosure at 8) and produced the relevant source code for inspection.

25 \_\_\_\_\_  
26 <sup>4</sup> See, e.g., Oracle Java Archive, <http://www.oracle.com/technetwork/java/archive-139210.html> (downloadable executables); Java 2 SE 1.4.2 ClassLoader API documentation,  
27 available at <http://docs.oracle.com/javase/1.4.2/docs/api/java/lang/ClassLoader.html>  
(documentation showing creation of protection domains and association with classes).

1           Rather than stating its position as to whether these products practice the identified claims,  
2 and explaining why, Google states only that “the products identified by Oracle cannot be proven  
3 at trial to practice the asserted claims of the ’520 patent.” This does not comply with the parties’  
4 Dec. 30, 2011 stipulation, and Google should be ordered to properly respond.

5           The inventors of the ’520 patent are no longer at Oracle—one is employed by Google. As  
6 a result, last summer, Oracle did not offer a witness to testify about the ’520 patent for Google’s  
7 deposition topic No. 11, on the “Evidence of conception, reduction to practice or actual use” of  
8 the inventions in the ’520 patent claims, and so is subject to the Court’s Supplemental Order.  
9 (ECF No. 26 at 7-8 (“If an organization cannot reasonably locate a witness to testify based on  
10 personal knowledge, there is no requirement under Rule 30(b)(6) for the organization to  
11 ‘woodshed’ or to ‘educate’ an individual to testify on the subject. If the organization does not  
12 produce any such deponent, however, the organization may not present case-in-chief evidence at  
13 trial or on summary judgment on that topic from any witness it could have so designated.”); *see*  
14 8/9/2011 Email from D. Muino to M. Francis.) However, while Oracle agreed that it would “not  
15 present an Oracle employee or officer at trial to testify regarding [those issues],” it expressly  
16 reserved the right to put on testimony from former Sun/Oracle employees. (*See id.* (“We reserve  
17 the right, of course, to present testimony on these subjects from our experts, the inventors  
18 (Messrs. Yellin, Tuck, and Fresko), and other former Oracle/Sun personnel with knowledge of the  
19 patented inventions.”).) It can present evidence of Oracle/Sun’s use of the ’520 patent through  
20 such witnesses at trial.

21           In order to promote efficiency at trial and reduce the number of witnesses, Oracle  
22 proposed having Mark Reinhold testify about CLDC HI and CLDC RI and John Pampuch about  
23 Java Card as they pertain to the ’520 patent. Oracle expects to call both engineers to testify at  
24 trial in any event, so it would take up little extra time to have them address these issues. Oracle  
25 has offered to make Mr. Reinhold and Mr. Pampuch available for deposition about the practice of  
26 the ’520 patent. Given the amount of time before trial begins, that no Google expert opined on  
27 whether any Oracle product did or did not practice the patent, and that, until recently, Google  
28 maintained that the ’520 patent was subject to the marking requirement as a matter of law because

1 Oracle's products practiced it, there would be no undue prejudice to Google to take these limited  
2 depositions now. Google declined Oracle's offer.

3 At the time Google proposed the procedure that the parties ultimately adopted and agreed  
4 to, it was well aware that Oracle had not presented a 30(b)(6) witness on the '520 patent. It even  
5 referenced this fact in its November 30 statement to the Court. (*See* ECF No. 635 at 2.) If  
6 Google's intention was to use this as a basis for *not responding* to Oracle's identification of the  
7 products that practice the '520 patent, it never should have proposed this procedure. Google is  
8 playing games. Now that Oracle has done its work and provided Google with the information it  
9 requested, it is too late for Google to refuse to respond on this ground.

## 10 6. The '720 Patent

11 In its January 2012 disclosure, Oracle confirmed that the '720 patent is used in the Oracle  
12 product CDC AMS (versions 1.0, 1.0\_1, 1.0\_2, Personal Basis Profile and Personal Profile  
13 versions). Oracle first identified CDC AMS as a '720 patent embodiment in its December 2010  
14 Disclosure, and made the source code available for inspection. (Oracle 12/2/2010 Disclosure at  
15 8.) Google did not serve any interrogatories directed to the '720 patent or CDC AMS.

16 Oracle's January 2012 disclosure identifies three source code files (`ansi_java_md.c`,  
17 `mtask.c`, and `Warmup.java`) that show its practice of the '720 patent. The disclosure also refers  
18 Google to a description of the implementation of the '720 patented technology in Oracle's  
19 product (`mtask.html`). This description is very detailed, and serves as a guide to the three source  
20 code files, explaining exactly how the implementation functions. With this information, and so  
21 few files at issue, confirming Oracle's contention should have been simple. But Google again  
22 failed to do so.

23 Instead, Google only states that its non-infringement expert, Dr. Jack Davidson, construes  
24 the claims at issue in the '720 patent differently from Oracle. (Google Response at 13.) Dr.  
25 Davidson has never been cleared by Google under the protective order, so has *never examined the*  
26 *CDC AMS source code*. His differing interpretation relates to one of the claim construction  
27 disputes presented to the Court (concerning the phrase "obtaining a representation of at least one  
28 class..."). Having not examined the code, it is not clear how Dr. Davidson is in any position to

1 say it does not practice the patent. The source code at issue was written by the inventor of the  
2 '720 patent, Nedim Fresko, to implement his own invention. The fact that, under Dr. Davidson's  
3 proposed special construction, the source code written by the inventor would no longer be an  
4 embodiment also suggests that Google's proposed construction is wrong. The Court recently  
5 postponed resolving the claim construction dispute until a fuller record is established at trial.  
6 (ECF No. 704 at 10.)

7 Mr. Fresko is no longer employed by Oracle, and subject to the same agreement as the  
8 '520 patent, Oracle did not present a 30(b)(6) witness on the "conception, reduction to practice, or  
9 actual use" of the invention in the '720 patent. (8/9/2011 Email from D. Muino to M. Francis.)  
10 After the agreement was reached, however, Google chose to elicit deposition testimony from  
11 Oracle engineer Erez Landau about the use of the '720 patent in CDC AMS. (Landau Dep.  
12 25:12-27:18.) By pursuing this line of questioning, Google opened the door to having Mr.  
13 Landau testify at trial regarding CDC AMS and its use of the '720 patent, notwithstanding  
14 Oracle's agreement not to present an employee witness on this topic. Google would not be  
15 prejudiced, since it deposed Mr. Landau on this issue, and it would be convenient for Mr. Landau  
16 to testify about the use of the '720 in CDC AMS to the jury because Mr. Landau will be testifying  
17 about the '720 patent in any event. Mr. Landau did the performance testing that demonstrated  
18 Android's use of the '720 patented technology and measured the benefits it offers, and will be  
19 testifying on these topics.

20 But even if Mr. Landau does not testify about the implementation of the '720 patent in  
21 Oracle products, there are alternative witnesses. Mr. Fresko, who is not an Oracle employee, can  
22 testify about that subject, and Oracle expressly reserved the right to present testimony from him.  
23 (See 8/9/2011 Email from D. Muino to M. Francis ("We reserve the right, of course, to present  
24 testimony on these subjects from our experts, the inventors (Messrs. Yellin, Tuck, and Fresko),  
25 and other former Oracle/Sun personnel with knowledge of the patented inventions.")). Mr.  
26 Fresko was subpoenaed by Google and has been deposed in this case. Google identified  
27 Mr. Fresko on its trial witness list as someone who may testify regarding the work that led to the  
28 issuance of the '720 patent and the documents authored by him, which is what Oracle would call

1 him at trial to do, if necessary. (Google's Trial Witness Disclosure Pursuant To Fed. R. Civ. P.  
2 26(a)(3) at 5, ECF No. 491-2.) Those documents include the very same materials identified by  
3 Oracle in its January 2012 disclosure.

4 In addition, as is the case with the '520 patent, Google was fully aware of the fact that  
5 Oracle had not presented a 30(b)(6) witness on the '720 patent when it proposed the procedure  
6 that was adopted by the parties, and made reference to this in its November 30 statement. (ECF  
7 No. 635 at 2.) It cannot use this fact now as a basis for refusing to respond.

### 8 III. GOOGLE'S STATEMENT

9 The purpose of the agreed procedure on disclosures was to determine whether the parties  
10 would be able to stipulate as to evidence that Oracle proposed to present at trial regarding its  
11 alleged practice of the asserted claims of the patents. (*See generally* Dkt. No. 661.) Contrary to  
12 Oracle's arguments in this paper, Google has never said it would prove that Oracle practiced any  
13 of these claims. Instead, it argued that if Oracle were to prove, as it contended it could, that it  
14 practiced these patents, then its damages would be limited by its admitted failure to mark any  
15 articles with the patent numbers. (Oracle, ironically, opposed the motion for summary judgment,  
16 arguing that whether it practiced the patents was an unresolved question of fact, such that its  
17 damages could not be limited as a matter of law.) Google agreed to this stipulated procedure in  
18 order to determine what, if anything, Oracle proposed to present at trial regarding its alleged  
19 practice of these patents, and to determine whether Google could agree to stipulate that Oracle  
20 would not need to provide such proof at trial.

21 Given Oracle's refusal to present evidence on certain of the patents during discovery, and  
22 the positions that it took in its opposition to Google's motion regarding patent marking, Google  
23 expected that Oracle might not contend that it practiced some of the patents. To the contrary,  
24 Oracle provided a disclosure asserting that it practiced all six of the remaining patents.

25 As to the '476 patent, Google has considered the additional technical information that  
26 Oracle has offered after the parties met-and-conferred, and has made a good faith effort to  
27 conduct its own examination of the source code for the Java Development Kit (JDK), version 6.  
28 While Google does not believe that the information Oracle presented showed the practice of the

1 patent, Google was able to identify code that it believes perform each of the steps of the only  
2 asserted claim, e.g., in the AppletPanel and URLClassLoader classes, and determined that it can  
3 therefore stipulate to the practice of that patent by the JDK, version 6. With respect to Oracle's  
4 other identified products, Google explained in meet and confer that it does not believe those  
5 products satisfy that claim's requirement for a protection domain.

6 As to the '702 patent, Google is willing to stipulate that the software development tools  
7 provided for use with the JavaOS 1.1 product fall within the scope of claim 7 of the '702 patent.  
8 But Google is not aware of (and Oracle has not provided any evidence of) developers actually  
9 using those tools to perform the method of claim 1 or thereby creating the apparatus of claim 13.  
10 And while Oracle criticizes Google for not engaging in a claim-by-claim analysis of the various  
11 products, Oracle's Disclosure itself contained no such detail; it declared that certain products  
12 practiced the patents without addressing any claims individually. With respect to the only other  
13 product Oracle claims to practice the '702 patent—Java Card—Google is not in a position to  
14 stipulate given the state of the record. Specifically, the very witness that Oracle has identified to  
15 testify on the practice of the '702 patent by Java Card testified at deposition that he did not know  
16 if the Java Card platform implemented the '702 patent. (Pampuch Dep. at 192:10-15.) Given the  
17 inability of Oracle's own chosen witness to identify even whether, much less how, Java Card  
18 practices the '702 patent (and nothing in Oracle's disclosures would give any detail as to how it is  
19 allegedly practiced), Google can't be expected to make such a determination in a few weeks'  
20 time.

21 As to the remaining patents, Google cannot stipulate. In some cases, that is because the  
22 parties have fundamental disagreements on the interpretation of claim terms. In other cases, it is  
23 because Oracle now seeks an end-run around its discovery representations that it could not find a  
24 30(b)(6) witness regarding Oracle's practice of the patents; therefore, Oracle agreed it would not  
25 present an Oracle witness on such issues at trial. (And, in any event, Oracle has not disclosed any  
26 expert opinions that Java practices the patents.) For at least these reasons, Google cannot  
27 stipulate that Java practices the '104, '205, '520, and '720 patents.  
28

1 Google's response to Oracle's disclosures lays out its position on the various patents in  
2 detail. *See* Appendix B. Rather than attempting to rebut Oracle's long-winded response, which  
3 was first provided after the close of business on Thursday night, Google focuses below on the  
4 crux reasons why it cannot stipulate to Oracle's practice of the remaining four patents.

5 **The '104, '205, and '720 patents.** At bottom, Google cannot agree that Oracle practices  
6 these patents because Google and Oracle read the claims differently. That is apparent from the  
7 non-infringement reports. Specifically, the problems are as follows:

- 8 • **'104 Patent:** Google disputes that Android contains symbolic references in the  
9 instructions. Instead, Android uses indexes into tables, which even Oracle's expert  
10 Dr. Mitchell agrees are numeric references. Because the identified Java products  
11 also do not use symbolic references in the instructions, and instead only uses  
12 indexes into tables, Google cannot agree that Oracle practices the '104 Patent.
- 13 • **'205 Patent:** Google disputes that Android performs runtime optimizations that  
14 replace virtual machine instructions. The code identified by Oracle also fails to  
15 perform such optimizations, such that Google cannot agree that Java practices the  
16 '205 patent. In fact, Google elicited deposition testimony from one of the  
17 inventors that the invention disclosed in the '205 patent was not effective and  
18 therefore never implemented in any released version of a Java product.
- 19 • **'720 Patent:** As highlighted in the claim construction briefing, Google contends  
20 that the asserted claims require a class loader capable of runtime compilation of  
21 source code into Java classes. Oracle argues that the class loader only need be  
22 capable of loading classes. The Java code that Oracle has identified only meets  
23 Oracle's interpretation of the asserted claims; it does not perform runtime  
24 compilation of source code into classes as Google contends. As such, Google  
25 cannot agree that the code Oracle has identified practices the '720 patent.

26 Notably, during the meet-and-confer procedure, Google previewed that the parties' differing  
27 positions would likely mean that Google could not stipulate as to Oracle's practice of these  
28 patents. Oracle can hardly feign surprise that Google cannot agree to stipulate as to these patents.

Now Oracle contends that, because Google did not submit the claim construction dispute  
to the Court, Google's experts cannot take the position that the plain meaning of the claims differs  
from what Oracle's experts say they mean. There is no support for Oracle's position. Nor has  
Oracle ever raised this complaint before, despite being aware of Google's experts' reading of the  
claims since last September. Simply put, Google and Oracle differ in their reading of the claims  
on Android, such that Google cannot stipulate that those same claims cover any Java product.

1           **The '520 and '720 patents.** In discovery, Oracle refused to present a 30(b)(6) witness on  
 2 its use of these patents, claiming—remarkably—that *no one* at Oracle had such knowledge.  
 3 Oracle then expressly stated that it would not present any Oracle officer or employee at trial to  
 4 testify as to alleged use by Oracle of these patents, while apparently purporting to reserve the  
 5 right to elicit such testimony from non-Oracle personnel, or from expert witnesses. But Oracle  
 6 never disclosed any expert testimony on its own alleged practice of these patents (or any of the  
 7 other four asserted patents, for that matter). And when Oracle served its disclosures on Google, it  
 8 took the position that it would have current Oracle employees offer testimony as to its use of  
 9 these patents. When asked about this about-face in the meet and confer, Oracle's counsel took  
 10 the position that this was just more convenient than offering expert testimony or the testimony of  
 11 inventors. But Oracle can offer neither of those things; the inventors are not on Oracle's witness  
 12 list, and its experts have disclosed no opinions relating to the practice of the patents. In essence,  
 13 for "convenience," Oracle is now asking to re-open discovery many months after it has closed so  
 14 that it can present self-serving testimony that it refused to provide the first time around. With  
 15 both fact and expert discovery long since complete, it is too late for Oracle's change of heart;  
 16 Oracle's gamesmanship on these patents should be rejected.

17           Beyond these crux issues lie a variety of discovery failures. These failures make Oracle's  
 18 claims that it practices the remaining four patents impossible for Google to confirm and Oracle to  
 19 prove at trial. As discussed in more detail in Google's Disclosure (Ex. B), these failures include:

- 20           • The question of whether Oracle's products practice the patents is a matter of expert  
 21 opinion. Yet Oracle never submitted any expert reports regarding Oracle's alleged  
 practice of the patents.
- 22           • Oracle has, at most, *fact* witnesses who cannot opine regarding its alleged practice  
 23 of the asserted claims. *See* Fed. R. Evid. 701; *Fresenius Medical Care Holdings,*  
 24 *Inc. v. Baxter Int'l, Inc.*, No. 03-cv-1431-SBA, 2006 WL 1330002 at \*3 (N.D. Cal.  
 25 May 15, 2006) (forbidding a lay witness from comparing a device to a patent claim  
 (citing Rules 701 and 702)). Many of those witnesses admitted that they are not  
 26 qualified to opine on the asserted claims, and Oracle's counsel objected to  
 27 questions about Oracle's practice of those claims as calling for expert testimony.
- 28           • Even Oracle's purported fact witnesses aren't qualified to testify about the alleged  
 use of particular code in particular products because they are *not* percipient  
 witnesses. They have been spoon-fed by Oracle's counsel for the purpose of  
 offering testimony about use of the asserted patents, but have no personal  
 knowledge about which code was ultimately implemented in product versions that

1 were commercially released and actually used. As such, their testimony is not  
2 admissible. *See Pacheco v. Homecomings Fin., LLC*, No. 08-cv-3002, 2010 U.S.  
3 Dist. LEXIS 64400, at \*10 (N.D. Cal. June 29, 2010) (“The testimony of a witness  
4 who does not have personal knowledge of the subject of his or her testimony is  
5 inadmissible.” (citing Fed. R. Evid. 602)), *aff’d*, 2011 U.S. App. LEXIS 25826  
6 (9th Cir. Dec. 27, 2011).

- 7 • Finally, Oracle’s Disclosures rely on information that it withheld during discovery.  
8 Specifically, Oracle’s 30(b)(6) witnesses on “[e]vidence of ... actual use of the  
9 invention(s) allegedly set forth in the asserted claims” could not testify regarding  
10 Oracle’s practice of any asserted claims. Oracle is bound by that testimony. *See*  
11 *Calpine Corp. v. Ace Am. Ins. Co.*, No. 05-cv-00984-SI, 2007 U.S. Dist. LEXIS  
12 75985 at \*23-24 (N.D. Cal. Oct. 12, 2007) (“Where a party seeks to depose a  
13 corporation, the corporation shall designate a person to testify to matters ‘known  
14 or reasonably available’ to the corporation. Fed. R. Civ. P. 30(b)(6). The answers  
15 given by the person designated by the corporation in a Rule 30(b)(6) deposition are  
16 binding on the corporation.” (emphasis added)).

17 Given these issues, Google cannot stipulate that Oracle practices the patents—it simply  
18 does not believe that to be the case based on the parties’ differing reading of the claims and the  
19 failures in Oracle’s discovery disclosures.  
20  
21  
22  
23  
24  
25  
26  
27  
28

1 Dated: January 27, 2011

MORRISON & FOERSTER LLP

2  
3 By: /s/ Marc David Peters

4 MORRISON & FOERSTER LLP  
MICHAEL A. JACOBS (Bar No. 111664)  
mjacobs@mofo.com  
5 MARC DAVID PETERS (Bar No. 211725)  
mdpeters@mofo.com  
6 DANIEL P. MUINO (Bar No. 209624)  
dmuino@mofo.com  
7 755 Page Mill Road  
Palo Alto, CA 94304-1018  
8 Telephone: (650) 813-5600  
Facsimile: (650) 494-0792

9  
10 BOIES, SCHILLER & FLEXNER LLP  
DAVID BOIES (*Admitted Pro Hac Vice*)  
dboies@bsflp.com  
11 333 Main Street  
Armonk, NY 10504  
12 Telephone: (914) 749-8200  
Facsimile: (914) 749-8300  
13 STEVEN C. HOLTZMAN (Bar No. 144177)  
sholtzman@bsflp.com  
14 1999 Harrison St., Suite 900  
Oakland, CA 94612  
15 Telephone: (510) 874-1000  
Facsimile: (510) 874-1460

16  
17 ORACLE CORPORATION  
DORIAN DALEY (Bar No. 129049)  
dorian.daley@oracle.com  
18 DEBORAH K. MILLER (Bar No. 95527)  
deborah.miller@oracle.com  
19 MATTHEW M. SARBORARIA (Bar No.  
211600)  
matthew.sarboraria@oracle.com  
20 500 Oracle Parkway  
Redwood City, CA 94065  
21 Telephone: (650) 506-5200  
22 Facsimile: (650) 506-7114

23 *Attorneys for Plaintiff*  
ORACLE AMERICA, INC.

1 Dated: January 27, 2011

KEKER & VAN NEST LLP

2 By: /s/ Eugene M. Paige

3 SCOTT T. WEINGAERTNER (*Pro Hac Vice*)

4 sweingaertner@kslaw.com

ROBERT F. PERRY

5 rperry@kslaw.com

BRUCE W. BABER (*Pro Hac Vice*)

6 bbaber@kslaw.com

1185 Avenue of the Americas

7 New York, NY 10036-4003

8 Telephone: (212) 556-2100

Facsimile: (212) 556-2222

9 DONALD F. ZIMMER, JR. (SBN 112279)

fzimmer@kslaw.com

10 CHERYL A. SABNIS (SBN 224323)

csabnis@kslaw.com

11 KING & SPALDING LLP

101 Second Street - Suite 2300

12 San Francisco, CA 94105

Telephone: (415) 318-1200

13 Facsimile: (415) 318-1300

14 GREENBERG TRAUIG, LLP

15 IAN C. BALLON (SBN 141819)

ballon@gtlaw.com

16 HEATHER MEEKER (SBN 172148)

meekerh@gtlaw.com

1900 University Avenue

17 East Palo Alto, CA 94303

18 Telephone: (650) 328-8500

Facsimile: (650) 328-8508

19 KEKER & VAN NEST LLP

20 ROBERT A. VAN NEST (SBN 84065)

rvannest@kvn.com

21 CHRISTA M. ANDERSON (SBN184325)

canderson@kvn.com

22 DANIEL PURCELL (SBN 191424)

dpurcell@kvn.com

633 Battery Street

23 San Francisco, CA 94111

24 Telephone: (415) 391-5400

Facsimile: (415) 397-7188

25 *Attorneys for Defendant*

26 GOOGLE INC.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**ATTESTATION**

I, Marc David Peters, am the ECF User whose ID and password are being used to file this **JOINT STATEMENT REGARDING ORACLE PRODUCTS PRACTICING PATENTS**. In compliance with General Order 45, X.B., I hereby attest that Eugene M. Paige has concurred in this filing.

Date: January 27, 2011

/s/ Marc David Peters