

Exhibit 21

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MARYLAND

IN RE MICROSOFT CORP. MDL Docket No. 1332
ANTITRUST LITIGATION Hon. J. Frederick Motz

This Document Relates to:
Novell, Inc. v. Microsoft Corporation,
Civil Action No. JFM-05-1087

Deposition of Ronald S. Alepin
Washington, D.C.
August 20, 2009

Reported by: Bonnie L. Russo
Job No. 70774

1

2

3

4

5

6

August 20, 2009

7

9:00 a.m.

8

9

10 Deposition of Ronald S. Alepin held at:

11

12

Sullivan & Cromwell, LLP

13

1701 Pennsylvania Avenue, N.W.

14

Washington, D.C.

15

16

17

18

19

20

21 Pursuant to Notice, when were present on behalf

22 of the respective parties:

1 APPEARANCES:

2 For the Plaintiffs:

3 DAVID L. ENGELHARDT, Esq.

4 ALEX E. HASSID, Esq.

5 ANDREW SMITH, Esq.

6 ERIN WILCOX, Esq.

7 DICKSTEIN SHAPIRO, LLP

8 1825 Eye Street, N.W.

9 Washington, D.C. 20006

10 202-420-3413

11

12

13 For the Defendant

14 STEVEN L. HOLLEY, Esq.

15 NURI G. FRAME, Esq.

16 SULLIVAN & CROMWELL, LLP

17 125 Broad Street

18 New York, New York 10004

19 212-558-4737

20

21

22

1 Q. I understand that general
2 accusation, but what I don't understand is what
3 it was that Novell needed to do in its File
4 Open dialog in PerfectOffice or whatever you
5 want to call it, PerfectFit, whatever they call
6 this stuff, that it couldn't do by enumerating
7 the namespace using API's which I think
8 everyone accepts, remained in the system and
9 remained documented throughout?

10 A. I think I understand the question
11 now. Thank you.

12 Q. Okay.

13 A. The basic goal that Novell had set
14 for this namespace browsing capability was the
15 ability to add namespaces of its own conception
16 to the -- to what are called the shell
17 namespaces. Which included my computer, the
18 briefcase, network neighborhood, and et cetera,
19 and it needed to do that in order to provide
20 functionality and to -- that would leverage its
21 quick-find technology that would leverage its
22 networking technology and even its clip art.

1 So it needed the ability to add namespaces to
2 the shell namespace and to be able to maintain
3 those regardless of whether the user was within
4 the WordPerfect window or outside of the
5 WordPerfect window.

6 Q. Why did they need that second part?
7 Who was going go to the Windows Explorer and
8 clip on a namespace called WPClipart? Just
9 why?

10 A. I believe the plan in 1993 and 1994
11 as Microsoft saw it, and the potential as
12 WordPerfect and Novell saw it in 1993 and '94
13 and has it has continued through to today, was
14 that this capability was significant and
15 amenable to more than just clip art, to add all
16 manner of things, and that it was important for
17 the user to have the same namespaces available
18 to him on his desktop as he had when he was
19 within a particular application during the file
20 open. Microsoft developed the file open
21 dialogues for Office to present the user with
22 the same view on his data sources as he has

1 when he is in Windows Explorer. There is a
2 reason for that. There is a reason that I
3 believe comes from what user's expectations
4 are, that you should have the same sort of
5 information sources available to you regardless
6 of where you go through the computer.

7 Q. So if I -- I just want to make sure
8 that the roles -- we're talking about the same
9 things. You do accept the proposition, do you
10 not, that using the APIs that remained
11 documented throughout, Novell was perfectly
12 able to create a file browser with whatever
13 custom folders it wanted?

14 A. Novell was capable of creating a
15 file browser. Do you mean it could have used
16 Chico Ap?

17 Q. No. It could have used TreeView,
18 ListView, and created a complete replica of
19 what the Windows Explorer looked like inside of
20 its File Open dialog with a special folder
21 called NetWare, a special folder called
22 Clipart, a special folder called WP document

1 management, and that File Open dialog would
2 have been available inside of every component
3 application of PerfectOffice.

4 A. I understand that that is the case,
5 but it would not have been available as part of
6 the shell view.

7 Q. So we have gotten down now to the
8 point where all we're talking about is the fact
9 that if I was not inside a WordPerfect
10 application, and I went to the Windows
11 Explorer, I couldn't see the custom NetWare
12 folder in the Windows Explorer?

13 MR. ENGELHARDT: Object to form.
14 Please answer.

15 THE WITNESS: I'm sorry. I lost the
16 question.

17 BY MR. HOLLEY:

18 Q. Sure. I want to just make we are
19 all talking about the same thing. So as I
20 understand your prior answer, what we are now
21 saying is that the thing that hurt Novell was
22 its inability outside the context of its

1 applications to add custom folders to the
2 Windows Explorer?

3 A. To add and to the ability to
4 activate and interact with the applications
5 that are the data sources that were in the
6 left-hand data source -- I forget the name, and
7 the right-hand side, just as had been the
8 vision of Bill Gates and others, that people
9 need to move to a document centric, not a
10 program-centric world, and that when you
11 present information to the user, the user
12 should be able to click on it and activate the
13 application that are associated with the left
14 side to the right side.

15 Q. Did you consider the testimony from
16 Steve Sinofsky and Brad Silverberg and perhaps
17 others, that they regarded Gates's vision of
18 people living in the Windows Explorer as
19 ridiculous?

20 MR. ENGELHARDT: Object to form.
21 Characterization.

22 THE WITNESS: I considered -- I read

1 the letters and considered the e-mails, yes, I
2 did.

3 BY MR. HOLLEY:

4 Q. And in your experience, after the
5 release of Windows 95, how many user's first
6 instinct was to open a program by going to the
7 Windows Explorer as opposed to going to the
8 start menu or clicking an icon on the desktop
9 that was associated with an executable file?

10 A. I don't think I have numbers, but
11 the -- to cite, but first of all, we have to
12 start with the fact that we are in Windows 3.1
13 world, and we're moving to a Windows 95 world,
14 and the paradigm is shifting. I think I
15 described a little bit about the paradigm shift
16 that is represented by having users think about
17 and work with documents first, and the second
18 thing -- so the first instinct of users when
19 they first encounter the system may have been
20 and I believe it was different from the way
21 users behaved over time. What has been a
22 surprising success, for example, is the way