

# **Exhibit 21**

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IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MARYLAND

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6 IN RE MICROSOFT CORP. MDL Docket No. 1332  
ANTITRUST LITIGATION Hon. J. Frederick Motz

This Document Relates to:

8 Novell, Inc. v. Microsoft Corporation,  
Civil Action No. JFM-05-1087

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## Deposition of Ronald S. Alepin

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Washington, D.C.

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21      Reported by: Bonnie L. Russo

22 Job No. 70774

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6 August 20, 2009

7 9:00 a.m.

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10 Deposition of Ronald S. Alepin held at:

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12 Sullivan & Cromwell, LLP

13 1701 Pennsylvania Avenue, N.W.

14 Washington, D.C.

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21 Pursuant to Notice, when were present on behalf  
22 of the respective parties:

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1           Q.     I understand that general  
2 accusation, but what I don't understand is what  
3 it was that Novell needed to do in its File  
4 Open dialog in PerfectOffice or whatever you  
5 want to call it, PerfectFit, whatever they call  
6 this stuff, that it couldn't do by enumerating  
7 the namespace using API's which I think  
8 everyone accepts, remained in the system and  
9 remained documented throughout?

10          A.     I think I understand the question  
11 now. Thank you.

12          Q.     Okay.

13          A.     The basic goal that Novell had set  
14 for this namespace browsing capability was the  
15 ability to add namespaces of its own conception  
16 to the -- to what are called the shell  
17 namespaces. Which included my computer, the  
18 briefcase, network neighborhood, and et cetera,  
19 and it needed to do that in order to provide  
20 functionality and to -- that would leverage its  
21 quick-find technology that would leverage its  
22 networking technology and even its clip art.

1 So it needed the ability to add namespaces to  
2 the shell namespace and to be able to maintain  
3 those regardless of whether the user was within  
4 the WordPerfect window or outside of the  
5 WordPerfect window.

6 Q. Why did they need that second part?  
7 Who was going go to the Windows Explorer and  
8 clip on a namespace called WPClipart? Just  
9 why?

10 A. I believe the plan in 1993 and 1994  
11 as Microsoft saw it, and the potential as  
12 WordPerfect and Novell saw it in 1993 and '94  
13 and has it has continued through to today, was  
14 that this capability was significant and  
15 amenable to more than just clip art, to add all  
16 manner of things, and that it was important for  
17 the user to have the same namespaces available  
18 to him on his desktop as he had when he was  
19 within a particular application during the file  
20 open. Microsoft developed the file open  
21 dialogues for Office to present the user with  
22 the same view on his data sources as he has

1       when he is in Windows Explorer. There is a  
2       reason for that. There is a reason that I  
3       believe comes from what user's expectations  
4       are, that you should have the same sort of  
5       information sources available to you regardless  
6       of where you go through the computer.

7           Q.     So if I -- I just want to make sure  
8       that the roles -- we're talking about the same  
9       things. You do accept the proposition, do you  
10      not, that using the APIs that remained  
11      documented throughout, Novell was perfectly  
12      able to create a file browser with whatever  
13      custom folders it wanted?

14           A.     Novell was capable of creating a  
15      file browser. Do you mean it could have used  
16      Chico Ap?

17           Q.     No. It could have used TreeView,  
18      ListView, and created a complete replica of  
19      what the Windows Explorer looked like inside of  
20      its File Open dialog with a special folder  
21      called NetWare, a special folder called  
22      Clipart, a special folder called WP document

1 management, and that File Open dialog would  
2 have been available inside of every component  
3 application of PerfectOffice.

4 A. I understand that that is the case,  
5 but it would not have been available as part of  
6 the shell view.

7 Q. So we have gotten down now to the  
8 point where all we're talking about is the fact  
9 that if I was not inside a WordPerfect  
10 application, and I went to the Windows  
11 Explorer, I couldn't see the custom NetWare  
12 folder in the Windows Explorer?

13 MR. ENGELHARDT: Object to form.

14 Please answer.

15 THE WITNESS: I'm sorry. I lost the  
16 question.

17 BY MR. HOLLEY:

18 Q. Sure. I want to just make we are  
19 all talking about the same thing. So as I  
20 understand your prior answer, what we are now  
21 saying is that the thing that hurt Novell was  
22 its inability outside the context of its

1 applications to add custom folders to the  
2 Windows Explorer?

3 A. To add and to the ability to  
4 activate and interact with the applications  
5 that are the data sources that were in the  
6 left-hand data source -- I forget the name, and  
7 the right-hand side, just as had been the  
8 vision of Bill Gates and others, that people  
9 need to move to a document centric, not a  
10 program-centric world, and that when you  
11 present information to the user, the user  
12 should be able to click on it and activate the  
13 application that are associated with the left  
14 side to the right side.

15 Q. Did you consider the testimony from  
16 Steve Sinofsky and Brad Silverberg and perhaps  
17 others, that they regarded Gates's vision of  
18 people living in the Windows Explorer as  
19 ridiculous?

20 MR. ENGELHARDT: Object to form.

21 Characterization.

22 THE WITNESS: I considered -- I read

1       the letters and considered the e-mails, yes, I  
2       did.

3                   BY MR. HOLLEY:

4       Q.       And in your experience, after the  
5       release of Windows 95, how many user's first  
6       instinct was to open a program by going to the  
7       Windows Explorer as opposed to going to the  
8       start menu or clicking an icon on the desktop  
9       that was associated with an executable file?

10      A.       I don't think I have numbers, but  
11       the -- to cite, but first of all, we have to  
12       start with the fact that we are in Windows 3.1  
13       world, and we're moving to a Windows 95 world,  
14       and the paradigm is shifting. I think I  
15       described a little bit about the paradigm shift  
16       that is represented by having users think about  
17       and work with documents first, and the second  
18       thing -- so the first instinct of users when  
19       they first encounter the system may have been  
20       and I believe it was different from the way  
21       users behaved over time. What has been a  
22       surprising success, for example, is the way